Work-stealing for mixed-mode parallelism
by deterministic team-building

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1. Introduction
Work-stealing is a now classical, efficient strategy for dynamically scheduling parallel work-loads of independent, sequential tasks on shared-memory systems with possibly varying number of available processing resources [1, 3]. With work-stealing, the sequential tasks of a DAG-structured computation are executed by the available independent hardware threads. Ready (and newly spawned) tasks are kept in local queues, and only when a thread locally runs out of tasks does it attempt to steal work (tasks) from other threads. Despite its localized nature with no global synchronization, it is nevertheless often possible to prove good time bounds and thread and memory/cache utilization for work-stealing based schedulers [1, 3]. Work-stealing is used as the basis in Cilk [2], Intel’s TBB [12], and many other task-parallel programming systems. Efficient implementation of work-stealing relies heavily on lock- and/or wait-free data structures [11].

In the dynamic task-based programming models that fit well with work-stealing, data-parallel loops are typically handled by recursively breaking the loop into chunks that are then handled sequentially by the available hardware threads. Work-stealing provides no means of ensuring simultaneous scheduling of the tasks responsible for such pieces, and no control over where (and when) the pieces are eventually executed. Thus, data-parallel tasks with dependencies are not well suited to work-stealing. This limitation has often been addressed and frameworks which allow communicating tasks have been proposed, see e.g. [8]. Phasers as known from Habanero [16] allow loose synchronization of single-threaded tasks. Conversely, sometimes parallel algorithms (e.g. the Quicksort algorithm that will be explained in Section 5) are conveniently formulated as a sequence of parallel (recursive) steps followed by a bunch of independent sequential work (perhaps followed by merging of results again done in parallel). Such computations are likewise not easily executed by work-stealing schedulers.

Mapping data-parallel algorithms to task-based programming models has drawbacks, which can be resolved by mixing task- and data-parallel programming. Algorithms naturally requiring task and data parallelism and the benefit that can be expected from mixed
data and task parallel programs are discussed in [5]. Centralized scheduling methods for handling mixed data and task parallel programs were discussed for instance already in [5], see also [4, 6, 7, 9, 13–15].

The model we consider here is DAG-structured computations with dynamically spawned, non-malleable tasks with fixed thread requirements, that is, each new task must be executed by some number of threads determined at spawn time. The problem here is how to gather the threads that will eventually execute data-parallel tasks requiring more than a single thread, avoid unnecessary idle times in the process, make sure that such gathered threads can be activated together, and that a convenient virtual numbering of the threads is available, such that the co-scheduled tasks have a means of identifying and communicating with each other.

A dynamic, greedy approach like work-stealing might be able to circumvent or alleviate some of these problems, and still provide a (provably) efficient utilization of resources. In this paper we propose to extend classical work-stealing in this direction. As far as we are aware, such a generalization of work-stealing has not been given before.

This model does at first glance not fit naturally with work-stealing in which coordination is done locally by thieves running out of work and no centralized resources are available for co-scheduling data parallel tasks over some set of available hardware threads. The contribution of this paper is to give a natural extension of work-stealing that allows for execution of such mixed data and task parallel programs. The extension is called deterministic team building. When a thread runs out of tasks in its local queue, it tries to help other threads to execute a data-parallel task requiring more than a single thread for its execution thereby forming a team. Coordination is thus, like in work-stealing, done by the thieves and not coordinated from “the top” by the threads having the data-parallel tasks in their local queues. The overhead for forming a new team is a single extra atomic compare-and-swap (CAS) instruction per thread joining a team. In order to avoid idle times of threads waiting for large teams to form, threads wanting to join a large team can help threads with tasks requiring fewer threads. In order to ensure that sufficiently large teams can be formed fast, and that a consecutive thread numbering within teams can be computed, work-stealing and team-building is done following a deterministic pattern. Each thread becoming idle and wanting to steal has \( \log p \) unique partners (\( p \) being the number of hardware threads) from which it attempts to steal work respectively build teams.

This paper concentrates on presenting the algorithmic idea of work-stealing with deterministic team-building. A basic implementation has been given in C++, and we have used this to give a very natural implementation of a parallel Quicksort algorithm [18] with exactly the properties of having dependent, data parallel computations (of decreasing granularities) mixed with sequential sorting of smaller chunks of the input.

The work described here was partly motivated by the European FP7 project PEPPHER (for “PErformance Portability and Programmability for Heterogeneous many-core ARCHitectures”, see www.peppher.eu) that develops a framework for enhancing performance portability of applications that consist of component-tasks that may already have been parallelized and make explicit requirements for specific (processor) resources (with complimentary guarantees of staying within the requested limits). Such component-tasks are in this context typically non-malleable. Among other issues, PEPPHER investigates scheduling strategies and software for such situations.

2. Standard Work-stealing

To set the stage for the description of deterministic team-building, the standard work-stealing framework upon which our algorithm is built is shown as Algorithms 1, 2, 3 and 4.

From now on the number of hardware threads is denoted by \( p \). Individual threads maintain tasks in local, double-ended queues denoted by \( Q \) with the usual operations popBottom(), pushBottom(), popTop() and isEmpty(). These queues are assumed to be implemented in a lock/wait-free manner [1, 11]. The main loop (Algorithm 1) terminates when all local queues are empty and no tasks are running. Termination detection details are not shown. Tasks are run by invoking their run() method. Running tasks can spawn new tasks, and are responsible for putting these onto the bottom of the local queues by corresponding pushBottom() operations.

![Algorithm 1](image)

Algorithm 1 Basic local work-stealing loop

1: while (task \( \leftarrow \) getTask()) \( \neq \perp \) do
2: \{Get a new task and run it (eventually spawning new tasks in the process)\}
3: task.run()
4: end while

![Algorithm 2](image)

Algorithm 2 The getTask() procedure

1: repeat
2: \{Local queue empty\}
3: stealTasks()
4: end if
5: task \( \leftarrow Q.popBottom() \) \{A fresh task or \( \perp \) if stolen by other thread\}
6: until task \( \neq \perp \)
7: return task

Procedure getTask() (Algorithm 2) returns a task from the bottom of the local queue, or steals tasks from some other thread if the local queue is empty.

![Algorithm 3](image)

Algorithm 3 The stealTasks() procedure

1: \( v \leftarrow \text{random()} \bmod p \) \{Choose random victim\}
2: \( T \leftarrow \min(v.Q.size() / 2, \text{MAX\_STEAL}) \) \{Attempt to transfer \( T \) tasks from top of \( v.Q \) to local \( Q \}\}
3: if \( Q.popappend(v.T) \geq 0 \) \{At least one task stolen\}
4: \{Number of successfully stolen tasks returned\}
5: return
6: end if
7: \{Unsuccessful stealing\}
8: backoff()

Tasks are stolen from a victim thread by the stealTasks() procedure (Algorithm 3). Instead of stealing only one task, it is most often beneficial to steal some fraction of the tasks of the victim’s queue. This is implemented by the popappend() procedure, which balances thief’s and victim’s queue by stealing half the victim’s tasks. For simplicity this is implemented by repeated application of popTop() and pushBottom() operations. The number of synchronization operations could be reduced by the use of more complex, real bulk remove and append primitives. The number of tasks to steal is a typical, tunable parameter in work-stealing schedulers that can often significantly affect performance. In practice, the last stolen task should not be added onto the queue in order to ensure it cannot be stolen back. We omitted this from our algorithms for readability reasons. If stealing is unsuccessful the thief performs a backoff(), the details of which can likewise affect performance (see Section 4).
number of required threads (requirements $r > p$) for tasks with $r = 1$. When a team of $r$ threads has been formed for some task, the task can be executed. For applications it is most often important that the threads of the team are numbered consecutively, in order that a thread can identify and communicate with the other tasks of the team.

In the following we first present deterministic team-building for the case where the number of initially available threads is a power of two, and the number of required threads for each newly spawned task is also a power of two. We can present the algorithm as an extension to the standard work-stealing implementation of the previous section by appropriately modifying the procedures for getting and stealing tasks. As will be explained later, if thread $i$ has a task requiring a team of $r > 1$ threads for execution, the team that will eventually be built will consist of consecutively numbered hardware threads $k$, $k + 1$, ..., $(k + 1)r - 1$ for some $k$ in the range $0 < k < p/r$. From this a virtual numbering of the threads in the team from $0$ to $r - 1$ can easily be computed. We then discuss main properties of the idea as compared to standard work-stealing, and then finally show how the technique generalizes to both arbitrary thread requirements and number of hardware threads.

In addition to the thread local queues $Q$ that will still be used to hold tasks to be executed, each now with a thread requirement $r \geq 1$, each thread has a local, fixed (integer) id $I$, which is used to deterministically determine the partner for work-stealing and team-building attempts. For each of $\log p$ partners the id of partner $i$, $0 \leq i < \log p$, is determined by flipping the $i$th bit of $I$. To access data structures associated with threads we need an array $\text{ThreadRef}[i]$ that maps thread id’s into references to the corresponding hardware threads.

Teams are coordinated by a coordinator and threads locally maintain a reference $c$ to their coordinator, which they use to poll whether a task is ready to be executed, or if their registration at the coordinator has been revoked.

The data-structure for each thread has the following members, which may be accessed by other threads during the stealing and coordination phases:

- A unique id $I$ in the range $0 \leq I < p$.
- A double ended queue $Q$ containing tasks. Local accesses always happen at the bottom, while stealing is done from the top of the queue.
- A reference to the coordinator $c$ of the thread. If the thread is itself a coordinator, it contains a self-reference. This is always the case when scheduling tasks with $r = 1$.
- A registration structure $R$ that will be described below is used for team creation at coordinating threads.
- A reference to a ready task that can be executed by the current team, stored in the $c$-task field. As soon as this field is nonempty, all threads in the team are allowed to start execution.
- A countdown $G$ for the ready task is provided, and is initialized to $r - 1$ ($r$ is the number of threads required for this task). Each non-coordinator thread has to atomically decrement this field when execution starts. As soon as the field is zero, the coordinator can be sure that execution has started by all threads in the team, and is then allowed to reset the $c$-task field.

Each thread maintains a registration structure $R$ that is modified by a compare-and-swap (CAS) operation when necessary. The registration structure is used for keeping track of a team being built for a task currently at the bottom of the threads queue, and contains the following fields:

- The number of required threads $r$ for the task at the bottom of the queue. This is modified every time a new task is added to the bottom of the local queue.
- The number of acquired (or registered) threads $a$, which is the number of threads currently registered for the team. Only threads can be registered that are required for a team of size $r$ (a team of a certain size at a specific coordinator always consists of the same threads due to the deterministic construction of the team, as will be explained in the following). If a new task is added to bottom that requires more threads, this number can stay. If it requires less threads, we have to reset it to the number of teamed threads and increment the new counter $N$ (see below) to ensure that no invalid thread has registered.
- The number of teamed threads $t$ which is the number of threads currently teamed up work on a task. Teamed up threads are not allowed to do any coordination work, except polling the coordinator for work. A team is formed by the coordinator at task launch time, as soon as all threads have registered. After execution of a task, the coordinator may decide to either execute another task using the same team, execute a task requiring less threads using a part of the team (thereby freeing all other threads in the team), or disbanding a team. In case a larger team has to be created for the next task, the team must be disbanded, and team-building for the new task restarted.
- A new counter $N$ which is incremented every time the coordinator decides to reset the number of acquired threads to the current team size, to signal to all acquired threads that team-building has to start over again. This happens every time a new task with thread requirement $r'$ is pushed to the bottom of the queue, where the previous task on the bottom requires $r > t$ threads and the new requirement $r'$ is smaller than $r$, $r' < r$. This is needed to ensure that only consecutive threads can register for a task. Each registered thread locally stores the current counter during registration to be able to determine, whether the registration is still active.

The full registration structure can be packed into a 64-bit integer, and thus all fields updated by a single 64-bit CAS instruction by assigning 16 bits to each field. For smaller numbers of hardware threads even a 32-bit CAS suffices.

<table>
<thead>
<tr>
<th>Algorithm 4 The popappend($v, T$) method implemented by standard queue operations.</th>
</tr>
</thead>
<tbody>
<tr>
<td>1: $i \leftarrow 0$</td>
</tr>
<tr>
<td>2: while $i &lt; T$ do</td>
</tr>
<tr>
<td>3: task $\leftarrow v.Q$.popTop()</td>
</tr>
<tr>
<td>4: if task $\neq \bot$ then</td>
</tr>
<tr>
<td>5: $Q$.pushBottom(task)</td>
</tr>
<tr>
<td>6: else</td>
</tr>
<tr>
<td>7: return $i$</td>
</tr>
<tr>
<td>8: end if</td>
</tr>
<tr>
<td>9: $i \leftarrow i + 1$</td>
</tr>
<tr>
<td>10: end while</td>
</tr>
<tr>
<td>11: return $T$</td>
</tr>
</tbody>
</table>

3. **Work-stealing with deterministic team-building**

We can now extend work-stealing to cater also for mixed data and task parallelism. In this case each newly spawned task can require a certain, determined number of threads for its execution. This thread requirement is denoted by $r$. In the standard work-stealing setting $r = 1$ for all tasks, whereas we want to allow for any $1 \leq r \leq p$ number of required threads (requirements $r > p$ are of course infeasible). Thread requirements are fulfilled by building teams of threads for tasks with $r > 1$. When a team of $r$ threads has been formed for some task, the task can be executed. For applications it is most often important that the threads of the team are numbered consecutively, in order that a thread can identify and communicate with the other tasks of the team.

To access data structures associated with threads we need an array $\text{ThreadRef}[i]$ that maps thread id’s into references to the corresponding hardware threads. For smaller numbers of hardware threads even a 32-bit CAS suffices.
Except for the CAS required for modifying the registration structure, and the atomic decrement required for updating the countdown \( G \) for the ready task, all other fields at the coordinator structure are only written by the coordinator itself and therefore do not require atomic primitives.

When a task spawns a task, the new task is pushed to the local queue with \( \text{pushBottom()} \). In addition, the registration structure is modified depending on the number of threads required by the new task. If the new number of required tasks \( r \) is larger than the previous value, we can just update this value. In case it is smaller, we have to reset the number of acquired threads \( a \) to the current team size \( t \) to ensure that we have not acquired any threads outside the boundaries of the new task. In addition to that, we have to increment the registration counter to notify all threads outside the current team that they have to re-register. We do not allow for \( r \) dropping below \( t \), so if the new task requires less than \( t \) threads, we set \( r \leftarrow t \).

Initially, each thread starts out with the coordinator reference pointing to itself, \( c = \text{ThreadRef}[] \).

The modified \( \text{getTask()} \) procedure is shown as Algorithm 5. If the thread has a coordinator \( c \neq \text{ThreadRef}[] \) (set by previous \( \text{stealTasks()} \) attempts), it will either start executing the coordinator’s task if there is one ready (team has been built), or help coordinating the task by polling its partners (this is explained separately). If the thread’s local queue is empty a modified steal attempt is executed (see Algorithm 7). Otherwise the thread starts coordinating a task.

Algorithm 6 lists the \( \text{coordinateTask()} \) procedure. It is called by a thread when its queue has run empty. It tries to either find a coordinated task to work on, or to steal tasks. Partners are checked by flipping the bits of the thread id from least to most significant, taking at most \( \log p \) iterations. This is done by bitwise exclusive or (denoted by \( \oplus \)) with \( 2^\ell \) for \( \ell = 0 \) to \( \log p - 1 \).

Algorithm 5 The modified getTask() procedure.

\begin{verbatim}
1: while \( G > 0 \) do
2: \{Make sure we do not have a previous coordinated task that has not yet been started by all threads.\}
3: backoff()
4: end while
5: return \( c \).task
6: \textbf{repeat}
7: if \( c \neq \text{ThreadRef}[] \) then
8: \{This thread is in a team coordinated by another thread\}
9: if \( c . \text{task} \neq \perp \) then
10: \{The coordinators task is ready, and this thread is in the team\}
11: return \( c . \text{task} \)
12: else
13: pollPartners(\( c . I \), \( c . R . r \))
14: end if
15: \textbf{else if} \( Q . \text{isEmpty}() \) then
16: \( \text{stealTasks()} \)
17: \textbf{else}
18: \( \text{coordinateTask()} \)
19: if \( \text{task} = \perp \) then
20: backoff()
21: end if
22: end if
23: \textbf{until} \( \text{task} \neq \perp \)
\end{verbatim}

Algorithm 7 Modified stealTasks()

\begin{verbatim}
1: \( \ell \leftarrow 0 \)
2: \textbf{while} \( 2^\ell < p \) \textbf{do}
3: \( x \leftarrow \text{ThreadRef}[I \oplus 2^\ell] \) \{Deterministic partner\}
4: \( xc \leftarrow x . c \) \{The partner’s coordinator\}
5: \( xR \leftarrow xc . R \) \{Copy coordinators registration structure\}
6: if \( xR . r \geq 2^{\ell + 1} \) \textbf{then} \{Partner’s coordinator requires this thread for execution of its task\}
7: \( RR \leftarrow xR \)
8: \( RR . a \leftarrow RR . a + 1 \) \{Coordinator has acquired one more thread\}
9: \textbf{if} \( \text{CAS}(x . R . xcR, RR) \) \textbf{then}
10: \{Successful registration with new coordinator\}
11: \( c \leftarrow xc \) \{Partner is new coordinator\}
12: \( \text{coordinatorCounter} \leftarrow RR . N \)
13: return
14: \textbf{end if}
15: \textbf{else}
16: \{Steal from partner instead\}
17: \( T \leftarrow \min(x . Q . size() / 2, 2^\ell) \)
18: if \( Q . \text{popappend}(x . Q, T) > 0 \) \textbf{then}
19: \{At least one task stolen\}
20: return
21: \textbf{end if}
22: \{Nothing to steal, next partner\}
23: \( \ell \leftarrow \ell + 1 \)
24: \textbf{end if}
25: \textbf{end while}
26: \{No success in stealing procedure\}
27: backoff()
\end{verbatim}
The pollPartners(c,r) procedure shown as Algorithm 8 is the new polling method. It takes two parameters: A coordinator c and the number of required threads r. It polls all partners required for the execution of a task. If the partners are working on tasks that are smaller than the current task to execute, we steal tasks from them to help them complete faster and start looking for partners. If a partner is also working on a large task (with large, we mean that it requires many threads for execution), we have to make sure that exactly one of them wins. In our case, we deterministically choose the task with a smaller thread requirement r. If both tasks are of the same size, the task of the thread with the smaller id wins. Although it might be more intuitive to prefer larger tasks to smaller tasks, we may not do this as threads are only guaranteed to find larger tasks as soon as they run out of smaller tasks.

There might be better ways of breaking ties, e.g. based on size of local queues, which might improve performance, and this is subject to experimentation. It is easy to see that the chosen criterion is correct.

The switchToCoordinator(xc) procedure presented in Algorithm 9 tries to set the coordinator reference c to the coordinator given as parameter. It also performs deregistration from the old coordinator if necessary, and checks whether the coordinator still requires help from this thread, before registering for it. The overlap() function used in this method checks whether the given thread ids would both be in the same team for a task of the size specified as the third parameter. This works similar to the calculation of local thread id’s described in Section 3.1.

3.1 Basic properties

Teams are always built out of consecutive threads, as the threads allowed to join a team of a certain size at a certain coordinator are static and deterministic as determined by the bit-flipping in the stealTasks() and pollPartners(c,r) procedures. If we switch to a different task, where some registered threads might not be required, we reset the acquired counter a to enforce this property. Due to this bit-flipping, teams always consist of the thread id’s kr, kr+1, . . . , (k+1)r − 1 for some k in the range 0 ≤ k < p/r.

Teams stay together as long as the coordinator’s next task is the same size as the team. If the next task is smaller, the team is deterministically shrunk to the required size. This is done by the coordinator by updating t. Each thread can deterministically calculate, whether it is still on the team. If the next task is larger, the coordinator breaks up the team as soon as execution of the previous task has finished. This is done, by setting t = 1. The team for the larger task then has to be rebuilt from scratch.

Stealing follows a deterministic pattern in our scheduler. We contact log p partner threads, before backing off. This was necessary in order for the teams to build properly, and may furthermore be advantageous to ensure memory-locality. If threads are initially numbered such that threads within each memory-hierarchy level are consecutive, bit-flipping will ensure that teams are formed by threads that are close in the memory hierarchy. Such locality optimizations by deterministic stealing have often been considered, see for instance the BubbleSched framework [17].

An important property of work-stealing with double ended queues is that tasks are executed in depth-first order. With deterministic team-building it can happen that larger (r > 2) tasks are stolen back and forth until they are finally executed if there are smaller tasks in-between. This may also lead to two tasks of the same size switching order inside a queue, therefore violating the depth-first order. We address this issue in Refinement 1. There we also show correctness of the algorithm.

Another property of work-stealing is that as long as a thread can execute tasks it does not have to communicate with other threads. We can expand this property to teams of arbitrary sizes, with the

```
Algorithm 8 pollPartners(c,r)
1: ℓ ← 0
2: while 2ℓ < r do
3:   x ← ThreadRef[ℓ ⊕ 2ℓ] \{Deterministic partner\}
4:   xc ← x.c \{Copy partners coordinator pointer\}
5:   xcR ← xc.R \{Copy partners registration structure\}
6:   if xc.I ≠ c.I then
7:     if xcR.r = r then
8:       if xc.I < c.I then
9:         \{The partner’s task wins. Switch to its task\}
10:        if task ≠ ⊥ then
11:           Q.pushBottom(task)
12:           task ← ⊥
13:        end if
14:        switchToCoordinator(xc)
15:        return
16:      end if
17:      \{We win, partner will eventually register for our task\}
18:    else if xR.r < r then
19:      \{The partner’s task wins.\}
20:    end if
21:    end if
22:  if xR.r < 2ℓ+1 then
23:    \{The partner doesn’t require help from this thread. We steal some tasks to make sure it’s queues are empty sooner.\}
24:      if x.Q.size() > 0 then
25:        if task ≠ ⊥ then
26:          Q.pushBottom(task)
27:          task ← ⊥
28:        end if
29:        T ← \text{min}(x.Q.size())/2, 2ℓ\}
30:        Q.popappend(x.Q, T)
31:      end if
32:    else
33:      \{The partner requires help from this thread. We switch to its task\}
34:        if task ≠ ⊥ then
35:          Q.pushBottom(task)
36:          task ← ⊥
37:        end if
38:        switchToCoordinator(xc)
39:      return
40:    end if
41:  end if
42:  switchToCoordinator(xc)
43:  return
44: end while
45: if ¬ c.tasksIsReady(J) then
46:  backoff()
47: end if
```
Algorithm 9 switchToCoordinator(xc)

1:  loop
2:     xR ← xc.R
3:     if overlap(xc.I, I, xc.R) then [Coordinator requires help from this thread]
4:         if c /∈ ThreadRef[I] then
5:             (First drop previous coordinator)
6:             RR ← c.R
7:         if overlap(c.I, I, RR.R) then
8:             [We are in our current coordinators team and therefore can't drop out]
9:             return
10:         end if
11:         RR ← RR
12:         RR.a ← RR.a + 1
13:         if CAS(xc.R, RR, RR) then
14:             backoff();
15:         else
16:             return
17:         end if
18:     else
19:         RR ← xcR
20:         RR.a ← RR.a + 1
21:         if CAS(xc.R, xcR, RR) then
22:             [We have successfully registered for coordinator]
23:         end if
24:     end if
25:     if R.t > 1 then
26:         [If this thread was coordinating a task, we have to stop coordinating]
27:         RR′ ← R
28:         RR′.a ← 1
29:         RR′.t ← 1
30:         RR′.a ← 1
31:         RR′.N ← RR′.N + 1
32:         R ← RR′
33:     end if
34:     c ← xc
35:     if C.CAS(RC.R, RR,N) then
36:         backoff();
37:     end if
38:     else
39:         return
40:     end if
41: end loop

restriction that this only holds as long as the next task requires the same amount of threads as the previous one. Of course, communication cannot be completely omitted with tasks requiring more than one thread, as threads in a team have to poll the coordinator for the next task, and have to notify it when execution starts, but this overhead is small. If task sizes in a single queue vary, communication is needed every time a larger task follows a smaller task. This issue also becomes less problematic with Refinement 1, although it is not completely resolved if we allow arbitrary task sizes (Refinement 2).

We finally explain how a consecutive numbering of the threads in a team starting from 0 is achieved. As soon as a thread knows the size of the team, it can use its global thread id to calculate the boundaries of the team, and therefore its local id. This is done by first retrieving the position of the most significant bit in t. Retrieving the most significant bit can either be done in \( \log b \) operations where \( b \) is the number of bits in the integer, but most modern processors support this operation in hardware. The leftmost thread id in the team is calculated by setting all bits in the coordinator id that are below the most significant bit of \( t \) to 0. For the rightmost thread id we have to set all those bits to 1. The local id’s for the execution of a task can simply be calculated by subtracting the leftmost thread id from the id of the actual thread.

We estimate the extra overheads in deterministic team-building as follows: an extra CAS used in Algorithms 6 and 7. If all tasks require \( r = 1 \) the algorithm coincides with a deterministic work-stealing scheduler, where \( \log p \) fixed partners are tried before the backoff(). The additional CAS that do not appear in classic work-stealing are never executed in this case. Actually, as now written in Algorithm 6 a CAS in coordinateTask() would be executed as some code was omitted for readability reasons. In the actual implementation, the CAS is only executed if the new team size differs from the old one, and this is never the case for one-thread tasks.

3.2 Refinement 1: Multiple work queues

In the basic variant, two tasks may switch order in a queue, if there are some smaller tasks between them (by being stolen back and forth, as explained above). This means that in the worst case tasks are not executed in a depth-first order any more by a single thread. Also, larger tasks might switch often between two queues, until most smaller tasks are processed. We can resolve this problem by using \( \log p \) local queues instead of one.

Each queue stores tasks of a certain size, in particular queue \( Q_i \) keeps tasks requiring \( r = 2^i \) threads. A thread always executes the smallest tasks first, and moves to queues with larger tasks as soon as all queues with smaller tasks are empty. When a team of threads works on a queue, it continues working on this queue, even if queues containing smaller tasks get filled again. Only after the queue is empty, the team is resized to work on a queue containing smaller tasks.

We can now forbid threads to steal tasks, where both threads would be in the same team. This reduces the required communication.

This refinement improves some of the properties of the algorithm. The main improvement is, as described before, that tasks of a certain size are now executed in a depth-first order. Reordering of such tasks is impossible. Also, on one thread, tasks requiring less threads are executed before larger tasks. The only exception occurs for small tasks that are created after a larger team has been formed by this method. They have to wait until the team is resized. Due to the clustering of the execution of same-size tasks, we reduce the required coordination due to varying task sizes.

This refinement is necessary for the following two refinements.

3.3 Correctness

For our correctness argument, we assume we have \( \log p \) queues per thread (as per Refinement 1), and that the number of threads required per task, as well as the total number of threads, are powers of two.

Lemma 1. Assume that the computation is finite. A thread \( i \) has a task requiring \( r \geq 1 \) threads. This task will eventually be executed.

Proof. For \( r = 1 \) the case is clear. A task requiring a single thread will in general be executed before tasks using more threads. No coordination is required before execution, so the task will eventually be executed, similar to classical work-stealing.

Similar findings apply to \( r > 1 \). If we assume that all tasks in the computation require \( r \) threads, all threads will coordinate to join teams of \( r \) threads with \( i \in [kr, (k+1)r - 1] \). Assume, thread \( i \) is the coordinator, then the task will eventually be executed. Otherwise, the team will dissolve to search for another coordinator as soon as the current coordinator’s queue runs empty, and eventually thread \( i \) will become a coordinator.
If we relax the restriction that all tasks require \( r \) threads, the given task will be executed at latest after we run out of tasks requiring less than \( r \) threads. Tasks with thread requirements larger than \( r \) cannot block execution of the given task, as tasks requiring less threads are always prioritized.

Lemma 2. Assume, we have two tasks \( x \) and \( y \) in the same queue with \( n \geq 0 \) tasks in-between them. When using \( \log p \) queues per thread, \( x \) and \( y \) cannot be reordered inside a single queue.

\[ \text{Proof. Let’s assume that } x \text{ is nearer to the top of the queue than } y. \text{ Therefore, } x \text{ would be stolen first. Assume, both get stolen by the same thread, then the order of both tasks in the target queue would stay the same, even if stolen at different times. The only case when } x \text{ and } y \text{ could switch order would be if a thread has } y \text{ in its queue, and then steals } x. \text{ A task can only be stolen in two cases: If all queues of the stealing thread are empty, or during coordination. If all queues of the stealing thread are empty, they cannot contain } y. \text{ During coordination, only tasks are stolen that require less threads than the task to coordinate. As coordination is always done for the task that requires the least amount of threads, we require that } r_x < r_y, \text{ which contradicts our assumption that both } x \text{ and } y \text{ are in the same queue.} \]

Lemma 3. All conflicts are resolved deterministically.

\[ \text{Proof. Assume that thread } x \text{ and thread } y \text{ both try to coordinate a task with } y \in [kr_x, (k + 1)r_x - 1] \text{ and } x \in [kr_y, (k + 1)r_y - 1]. \text{ Assume } r_x = r_y, \text{ and } x < y \text{ then } x \text{ will be chosen deterministically. All threads with } y \text{ as coordinator will switch to } x \text{ as soon as they encounter a thread with } x \text{ as coordinator during coordination. Assume } r_x < r_y, \text{ then again } x \text{ will be deterministically chosen. Assume that thread } x \text{ wins, but thread } y \text{ steals another task during coordination of } y \text{ before encountering threads coordinated by } x. \text{ As we only allow to steal tasks during coordination that require less threads than the coordinated task, the new thread requirement } r_y' \text{ must be less than the old requirement. Assume that now } r_x > r_y', \text{ then if } x \in [kr_y', (k + 1)r_y' - 1] \text{ still holds, thread } x \text{ will switch to thread } y \text{ as coordinator. Otherwise, thread } y \text{ is independent of thread } x \text{ and the conflict therefore resolved. As each stolen task has to be smaller than the previous one, the conflict will be resolved either way sooner or later.} \]

Lemma 4. Each task is only executed once by each of the threads in a team.

\[ \text{Proof. A task is always managed by only one thread (the coordinator) and cannot occur in two queues at the same time. The start of task execution is managed by the coordinator, and the reference to an executed task removed before the coordinator starts coordinating again. Coordinated threads in a team have to remember the last executed task to make sure they do not execute it again, until they either drop out of the team, or the coordinator starts coordinating a new task.} \]

3.4 Refinement 2: Arbitrary thread requirements

We now show how to cope with the case where each new task can require an arbitrary number of threads, \( r \leq p \), and not only requirements that are powers of two.

The easiest way to do this would be to just allocate a team with a size equal to the next-highest power of two, and to let some threads sit idle during execution. This, of course, is far from ideal, and it would be preferable if the threads that would otherwise be idle worked on smaller tasks. Nonetheless, we cannot completely ignore those threads, as they might be the first partners, some thread that is required for the team visits.

We propose that during coordination, such threads that will not actually work on a task silently register at the coordinator. Registering silently means, that the thread’s coordination pointer is set to the coordinator, but it does not increment the registration counter. As soon as execution of the task starts, the thread may start working on another task.

We note that it is still necessary to help those tasks empty their queues, even if they might not always interfere in coordination and might later run out of work. Sometimes, some of those threads might be coordinating another task that requires a team that does not intersect with the team of our task. We do not need to steal from those threads as they won’t interfere with our task.

Although it is possible to support arbitrary task sizes, we can only provide weak guarantees concerning the utilization of the hardware threads. In the worst case, nearly half of the threads may sit idle. This would happen if we have tasks with \( r = 2^k + 1 \) to execute, and all smaller tasks on silently registered threads would have been executed before forming the team. Therefore the programmer should preferably use tasks that are aligned to a power of two.

Another problem is that teams might dissolve before a queue has been processed, because of a larger task following a smaller task. Therefore the programmer should try not to have varying task sizes in single queues. For some applications it might be feasible to provide additional queues for certain sizes that are often used, but this approach cannot be generalized, and providing \( p \) queues is not feasible anyway with increasing number of cores.

3.5 Refinement 3: Arbitrary number of hardware threads

We finally extend to the general situation where an arbitrary (finite, fixed) number of threads is given from the outset, and each newly spawned task can require an arbitrary number of threads.

In the standard algorithm, we assume that each level \( \ell \) at which a thread has one partner, contains exactly \( 2^\ell \) threads. We relax this constraint by allowing a level to contain \( n_{\ell} \) tasks, where \( n_{\ell-1} < n_{\ell} \leq 2n_{\ell-1} \) and \( n_0 = 1 \). This information has to be statically precomputed at startup time, and has to be accessible to all threads. This relaxation has two implications: First, some threads will not have a partner at certain levels. Second, some threads won’t have access to the full number of threads for a team \( n_\ell \) on level \( \ell \).

As the information about a thread’s partner cannot be conveniently generated on the fly any more, each thread has to precompute and store an array \( P[\ell][x] \) of its log \( p \) partners. If, for a thread, the partner at level \( \ell \) is missing, we store \( P[\ell] = \perp \). Also, each thread has to precompute and store the actual team-sizes \( n' \) available at level \( \ell \), where \( n'_{\ell-1} \leq n'_\ell \leq n_{\ell} \). Each thread has log \( p \) task-queues, where the queue for level \( \ell \) stores tasks in the range \( n'_{\ell-1} < x \leq n'_\ell \). Some threads might have queues that are never used, and therefore do not have to be reserved in memory.

The actual execution proceeds similarly to the standard execution, only that instead of relying on bit-flipping, we have to rely on precomputed information about partners and team-sizes. Also, as partners at some levels might not be available, we should be able to handle that. Last, but not least, we have to be aware that stolen tasks will not necessarily be stored in a queue at the same level as in the originating thread. This might create balancing issues, where tasks are not stolen from a partner, as they are in a queue at the same level as the level of the partner, which is not allowed by the algorithm, even though two or more of those tasks could be executed in parallel by all threads at this level. This case may actually only occur, if a thread has queues that would never be used as described in the previous paragraph. If we use those queues for storing the tasks in question, we can resolve the issue.

The properties of the algorithm should more or less stay the same with this refinement, only that the ideal task-size is no longer
a power of two and may vary depending on the actual thread the task is executed on. An advantage of this approach is that it can sometimes provide a more suitable representation of a homogeneous multi-core and therefore provide good locality in many cases. For example, if we take a dual-socket system with two three-core processors, we would structure the threads with \( n_0 = 2, n_1 = 3 \) and \( n_2 = 6 \). This guarantees, that a 3-processor task is executed on one core, which reduces memory access times.

### 3.6 Refinement 4: Randomizing stealing and team-building

The deterministic work-stealing scheme can be augmented with randomization which can theoretically guard against (easily constructible) degenerate cases where threads may sit idle waiting for any partner thread to finish working on its current task and starting to steal from threads that this thread may not reach. When choosing a partner for stealing and/or team-building at a certain level \( \ell \), in addition to applying a bitwise exclusive or with \( 2^\ell \) to the id of the stealing thread, we also randomize all bits below the \( \ell \)th bit. This can be implemented by performing the exclusive or with a random integer \( 2^i \leq i < 2^{\ell+1} - 1 \) instead of the fixed bit \( 2^\ell \). Thus, instead of always deterministically choosing the same thread at level \( \ell \), a random thread is chosen out of a set of \( 2^\ell \) threads. Using this strategy, each thread may steal from any thread over a total of \( \log p \) steal attempts, but the required hierarchy between the threads is preserved.

### 4. Implementation

We have implemented a prototype of the work-stealing scheduler with deterministic team-building as described above in C++ using Pthreads to start the \( p \) hardware threads. The atomic operations used in the implementation are compare-and-swap and fetch-and-decrement, which are all available as atomic builtins in gcc. The compare-and-swap primitive is required for modifications on the registration structure, and for accesses to the work-stealing deque. fetch-and-decrement is used for counting down started tasks. For retrieving the most significant bit of an integer, we use the bsr library instruction available on Intel architectures, as this operation is not provided as a library call under the Linux operating system.

Under BSD, the fts library function can be used instead. Retrieving the most significant bit is necessary for calculating the boundaries of a team as explained in Section 3.1, and for choosing in which queue to store a task.

Furthermore, the following design decisions have been made for the implementation:

- Tasks are implemented as objects derived from a base task class, quite similar to TBB [12].
- For simplicity, we only provide one linear stack per thread in our implementation. A cactus-stack as used in Cilk [2] might be more efficient.
- When stealing tasks the last stolen task is not put on the stack but instead returned immediately from the stealtasks() function. This is necessary to prevent situations, where a task is stolen back and forth with no thread being able to execute it.
- The scheduler terminates as soon as all threads have registered as idle. They can register as idle if their stack and all queues are empty and stealing has failed multiple times. Registration is canceled before a thread starts to steal again.
- We have noticed that we can achieve better scheduling in many cases, if we steal the largest allowed tasks. This comes from the fact that a thread only steals from a thread at a certain level, if all partner threads at lower levels had empty queues. Therefore, the chances are high that the stealing thread will be able to build up a team soon.

Some of tunable parameters of the implementation are given below. Performance of the implementation might be improved by choosing the right values, and the optimal values might differ depending on the hardware the scheduler is run on.

- Backoff intervals - For our backoff function, we used exponential backoff, starting at 1 microsecond, and going up to 10 milliseconds.
- Number of tasks to steal - We decided to steal \( 2^\ell \) tasks from a partner, where \( \ell \) is the position of the bit to flip to get the partner’s id. This comes from the assumption that, if we reached the \( \ell \)th partner during stealing, it is likely that all threads in the \( 2^\ell \) block around the current task are running out of tasks. Therefore it makes sense to steal enough tasks for all of them.

### 5. An example with experimental results

To evaluate the mixed-parallelism work-stealer we have implemented the parallel Quicksort algorithm described in [18] with the variations described above. We compare this implementation to the standard task-based Quicksort algorithm (Algorithm 10). The standard algorithm sequentially partitions the data and then recursively sorts both created subsequences in parallel. The async statement we use here creates a task out of the following function call. The sync statement waits for all spawned tasks. We provide a CUTOFF length at which we switch to a sequential implementation when the task-creation overhead is higher than the gains.

#### Algorithm 10 qsort(data, n)

```plaintext
1: if n ≤ CUTOFF then
2: return sequential_sort(data, n)
3: else
4: pivot ← partition(data, n)
5: async qsort(data, pivot)
6: async qsort(data + pivot +1, pivot − n − 1)
7: sync
8: end if
```

The problem with this algorithm is that during the starting phase we start with a single sequence that has to be sorted on a single processor. Only over time we get enough parallel work to fully utilize all processor resources. In [18] this problem is solved with a data-parallel partitioning step. It starts off with all processors partitioning a single array. Then, after partitioning is complete, the processors are split into two groups, where each group gets a single subsequence to work on. In the final phase, each processor has a single subsequence that it can sort locally. To achieve better load-balancing, a helping scheme similar to work-stealing is used. Therefore, the last phase can be seen as similar to the task-based Quicksort algorithm in Algorithm 10.

As classic work-stealing is not able to handle data-parallel tasks, the implementation of Quicksort with data-parallel partitioning has to rely on manual scheduling and a manually implemented helping scheme. Our mixed-parallelism work-stealer fits naturally to this algorithm, and allows to simplify it. Also, it provides better balancing if other algorithms are executed at the same time, as both can use the same scheduler. We modify the Quicksort algorithm to use a more dynamic scheme, which we present in Algorithm 11. This mixed-mode parallel Quicksort uses a data-parallel partitioning step, and then launches two subtasks on the thread with the local id 0. We modified the async to allow setting the number of threads required by the given task. In this example, we delegate the task of
Table 1. Quicksort on the 8-core Intel Nehalem system. Average running times over 10 repetitions in seconds. Speedup is calculated relative to the (best) sequential STL implementation.

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choosing a good number of threads to the procedure `getBestNp(n)`. How it is actually implemented may have a major influence on performance as the overhead for data-parallel partitioning is higher than for sequential partitioning, so it should only be used when either the data is large enough so that the overhead is negligible or there is too little work to do for sequential tasks. In our implementation we decided on a policy that each thread working on parallel partitioning should at least have 128 blocks to work on.
Table 3. Quicksort on the 16-core AMD Opteron system. Average running times over 10 repetitions in seconds. Speedup is calculated relative to the (best) sequential STL implementation.

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Table 4. Quicksort on the 16-core AMD Opteron system. Best (minimum) running time of 10 runs in seconds. Speedup is calculated relative to the (best) sequential STL implementation.

better balancing, we decided to only allow powers of two as the number of threads for a task.

If the number of threads required by a newly launched task $n_p$ equals 1, we switch to the standard task-based implementation from Algorithm 10.

We now explain how the data-parallel partitioning step works. During partitioning, the array is split into equally sized, cache-aligned blocks. (The pivot element should not be inside those blocks.) Each thread takes one block from each side of the array to be sorted, and tries to neutralize (see [18] for the details of this concept) blocks by swapping elements that are larger than the pivot and in the right block. As soon as one of the blocks has been neutralized, the thread tries to acquire another block from the same side of the array, until we run out of free blocks.

For the second phase, the paper [18] proposes that a single thread then collects the remaining blocks from all other threads, and processes them sequentially. We decided to follow a different approach. In our implementation, any thread that needs to acquire a block decides whether it wants to be a producer, or a consumer, depending on its current id and the number of blocks on this side that have to be processed. Producing threads put their remaining block, and the current processing position into an exchanger data-structure, and then exit the computation. Consuming threads re-
Table 5. Quicksort on the 32-core Intel Nehalem EX system. Average running times over 10 repetitions in seconds. Speedup is calculated relative to the (best) sequential STL implementation.

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Table 6. Quicksort on the 32-core Intel Nehalem EX system. Best (minimum) running time over 10 runs in seconds. Speedup is calculated relative to the (best) sequential STL implementation.

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tive blocks from the exchanger data-structure and continue to neutralize blocks. During this execution more and more threads switch from being a consumer to being a producer, until only thread 0 remains.

The third phase starts, when thread 0 only has blocks from one side remaining. As we now have a sequential execution, we can use a variation of the sequential partitioner to partition the rest of the data. Apart from that, our algorithm still uses fork-join
Table 7. Quicksort on the 16-core Sun T2+ system running with 32 threads. Average running times over 10 repetitions in seconds. Speedup is calculated relative to the (best) sequential STL implementation.

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Table 8. Quicksort on the 16-core Sun T2+ system running with 32 threads. Best (minimum) running time over 10 runs in seconds.

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</table>

Algorithm 11 mmqsort(data, n)
1: if np = 1 then
2:   return qsort(data, n)
3: else
4:   pivot ← parallel_partition(data, n)
5: if localId = 0 then
6:   async(getBestNp(pivot)) mmqsort(data, pivot)
7:   async(getBestNp((n − pivot − 1)) mmqsort(data + pivot + 1, n − pivot − 1)
8:   sync
9: end if
10: end if
parallelism for its execution, meaning that for each subsequence to sort, a separate task is created. For the number of threads assigned for each subtask, we decided to select the biggest power of two, where each thread can process at least 128 blocks on average during the partitioning step (of course limited by the number of hardware threads). If only one thread would process the array, we switch to the classic fork-join Quicksort implementation with a sequential partitioning step.

The classic fork-join Quicksort implementation has been designed to run on the same scheduler. It contains a sequential partitioning step, and creates a new task for each of the resulting subsequences. If a subsequence is smaller than a certain size, we switch to the standard sequential STL sorting algorithm.

Technical report, University of Vienna
12 2010/11/25
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Table 9. Quicksort on the 16-core Sun T2+ system running with 64 threads. Average running times over 10 runs in seconds. Speedup is calculated relative to the (best) sequential STL implementation.

Tunable parameters of the Quicksort algorithm are the following:

- **Blocksize for parallel partitioning** - The Blocksize for parallel partitioning should be at least as large as the cache-line size. We decided on a block-size of 4096. (We sorted 4-byte int values.)
- **Number of threads for the data-parallel partitioning step** - In our implementation, a thread should be able to process at least 16 blocks on average. We only allow powers of two for the number of threads. Other values might provide better results.
- **Cutoff for task-based Quicksort** - We decided to let all subsequences with less than 512 elements be sorted by STL sort.

We did not concentrate on finding the best values for those parameters (or the tuning parameters of the work-stealing scheduler), therefore performance might be improved using different values.

We compare the mixed-mode parallel Quicksort to a standard task-based Quicksort implementation. Both are run on our scheduler. We also implemented a work-stealing scheduler with random stealing and executed the standard task-based Quicksort on it. We were not able to achieve good performance with this version. It seems that random work-stealing is much more sensible to tuning parameters, and requires some more tricks to work well. Where possible, we also compared to a task based implementation implemented in Cilk [2].

Speed-up is in all cases computed relative to the best available sequential sort implementation which we take to be the STL sort.

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</table>

Table 10. Quicksort on the 16-core Sun T2+ system running with 64 threads. Best (minimum) running time over 10 runs in seconds. Speedup is calculated relative to the (best) sequential STL implementation.

2010/11/25
function. This is also used in our implementation for subsequences shorter than 512 elements. In the current version of the STL delivered with gcc, the Introsort algorithm is used that is based on Quicksort, but has a better worst-case complexity. For each variant, we took the average and the best (minimum) result out of 10 measurements. We sorted differently generated sequences of 4-Byte integers distributed as in the papers [10, 18], namely uniformly random, random Gaussian, and Buckets and Staggered.

The implementations have been run on four different systems, namely
- a 2-socket Intel Nehalem system, where each CPU has 4 cores (Intel Xeon X5550 2.66GHz, 8MB cache).
- a 8-socket AMD Opteron system, where each CPU has 2 cores (AMD Opteron 8218 2.6 GHz).
- a 4-socket Intel Xeon X7560 system, where each CPU has 8 cores (Intel Xeon X7560 2.26 GHz, 24MB cache).
- a 2-socket Sun UltraSPARC T2+ system, where each CPU has 8 cores and 64 hardware threads.

The collected results are shown in Tables 1, 2, 3, 4, 5, 6, 7, 8, 9, and 10. In these tables, columns SeqSTL list running times for the “best” sequential implementation available (STL), while columns SeqQS give the running times for the handwritten reference Quicksort implementation that uses the same cutoff to switch to STL sort, as the parallel implementations. Columns Fork are the running times with a standard, task-based parallel Quicksort implementation using our work-stealer (all tasks have thread requirement 1); here both a deterministic and a randomized variant of the work-stealer have been used. Columns Cilk give the running times using Cilk++, wherever Cilk++ could be run (this was not possible on the Solaris system). Cilk_sample denotes the sample quicksort implementation provided with the Cilk++ compiler, whereas Cilk is a handwritten example following the same pattern as the other implementations, including the cutoff. Finally, columns MPMpar are our mixed-mode parallel algorithm shown as Algorithm 11.

Compared to the task-based Quicksort, our mixed mode implementation on top to the new work-stealing scheduler improves speed-up, often by a significant fraction; most notably for the Sun T2+ and the large 32-core Intel system. Randomization in the task-based implementation was tried but turned out to perform poorly, illustrating again that tuning is important in getting the best performance from a work-stealing system. Compared to Cilk, in most cases performance is comparable, sometimes better, but for the 8-core Nehalem system Cilk gives systematically better speed-up. This could be due to the fact that Cilk is more carefully tuned than our prototype system. On the 32-core Nehalem system we achieve consistently better results than even with Cilk. On the Sun T2+ system, low speed-up is achieved with 64 threads, while it is quite competitive for 32 threads. It seems that the cores are already well utilized with this algorithm when using 2-way SMT, so that nothing can be gained when using more hardware threads.

6. Conclusion

We showed how to extend standard work-stealing to deal with mixed-mode task and more tightly coupled data parallel programs, in which dynamically spawned tasks can have fixed requirements for a number (larger than one) of threads for their execution. We concentrated on explaining the basic algorithm, which we termed work-stealing with deterministic team-building, and outlined a number of variations and tunable parameters. A prototype implementation of such a work-stealer was given in C++, and used as the basis for implementing a parallel Quicksort algorithm. On four different many-core systems with 8 to 32 cores we showed that speed-up could be improved from 4.8 using the standard task-based algorithm to 5.6 using our mixed-mode Quicksort, with an arguably more natural implementation than in the classic data-parallel Quicksort [18].

In future work we will evaluate further mixed-mode parallel applications, and continue to improve the work-stealing implementation, including additional ways of improving processor utilization in cases where the number of threads per task and the number of processors is not a power of two. One way to do this might be to allow tasks that are malleable within certain limits. We also hope to explore the theoretical properties of work-stealing with deterministic team-building and to explore bounds on the time that threads may be idle compared to other mixed-mode scheduling approaches. Eventually we would like to experiment with the approach within the overall PEPPHER framework.

References
