Demand-Aware Network Design with Minimal Congestion and Route Lengths

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***Abstract*—Emerging communication technologies allow to reconfigure the physical network topology at runtime, enabling *demand-aware networks (DANs)*: networks whose topology is optimized toward the workload they serve. However, today, only little is known about the fundamental algorithmic problems underlying the design of such demand-aware networks. This paper presents the first bounded-degree, demand-aware network, *cl-DAN*, which minimizes *both* congestion and route lengths. The designed network is provably (asymptotically) optimal in each dimension individually: we show that there do not exist any bounded-degree networks providing shorter routes (independently of the load), nor do there exist networks providing lower loads (independently of the route lengths). The main building block of the designed *cl-DAN* networks are *ego-trees*: communication sources arrange their communication partners in an optimal tree, *individually*. While the union of these ego-trees forms the basic structure of *cl-DANs*, further techniques are presented to ensure bounded degrees (for scalability).**

I. Introduction

A. Motivation

Data center networks have become a critical infrastructure of our digital society. With the trend toward more data-intensive applications, data center network traffic is growing quickly [7], [31]. As much of this traffic is *internal* to the data center (e.g., traffic due to scatter-gather and batch computing applications), the design of efficient data center networks has received much attention over the last years [23].

Traditionally, data center designs are *demand-oblivious* and static: they are optimized for the "worst-case", e.g., they (almost) provide a full bisection bandwidth, allowing to serve dense, all-to-all communication patterns. Empirical studies however show that real communication patterns are usually far from all-to-all. Rather, traffic patterns feature spatial locality and are sparse [5], [9], [13], [19], [21], [26]: only a small fraction of all possible source-destination pairs are involved in intensive communications at any time.

The advent of novel optical technologies which allow to reconfigure the physical network topology [10], [15], [20], [21], heralds a paradigm shift: using these technologies, data center designs can be reconfigured and optimized toward their demand, i.e., they become *demand-aware*. In particular, a demand-aware network design may connect frequently communicating nodes "better": the network provides shorter routes between such nodes (lower latency, energy consumption etc.) and aims to reduce congestion by keeping traffic local (lower load, less queuing delays, etc.).

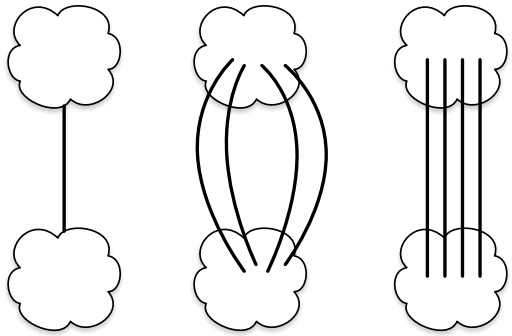


Fig. 1. Challenge of designing demand-aware networks: (a) Optimizing for route lengths only may result in bottlenecks and high loads. (b) Optimizing for congestion only, by distributing load across multiple paths, can result in long routes. (c) Ideally, we aim to design networks that minimize both congestion and route lengths, *using a small number of links* (constant degree).

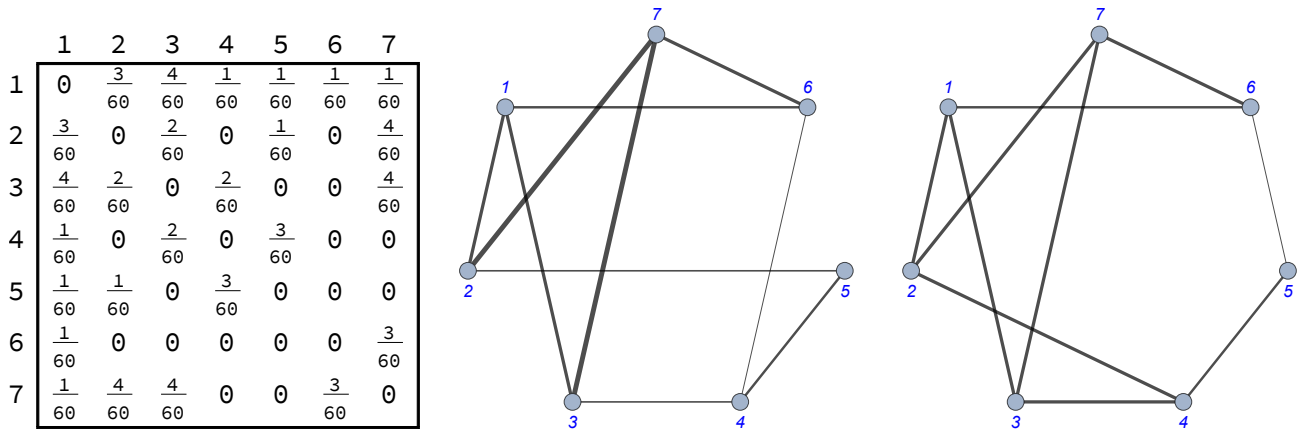
However, only little is known today about the *algorithmic* challenge of designing demand-aware networks which provide low congestion ***and*** short routes (in the number of hops), for a given communication pattern. This is the topic of our paper (see also Figure 1).

At first sight, it may seem that designing networks providing both short routes and minimal load is hard and faces a tradeoff: to better balance loads, it may be necessary to route flows along longer paths. Yet, as we show in this paper, a solution can be efficiently computed which is almost optimal both in terms of route length and congestion, *independently* (i.e., without tradeoff).

B. The Demand-Aware Network Design Problem

Intuitively, the demand-aware network design problem can be stated as follows (a formal model will follow later). We are given a set of n nodes (e.g., top-of-rack switches [21]) interacting according to a certain *communication pattern*: a frequency distribution represented as matrix or (weighted) *demand graph*.

Our goal is to design a demand-aware network, *cl-DAN*, together with a routing scheme, which serves this communication pattern providing low congestion and shorth route lengths. The designed network should be scalable, i.e., of bounded degree (e.g., reconfigurable links may consume space and/or



(a) Demand distribution (b) Optimal route length (c) Optimal route congestion

Fig. 2. Example of the *demand-aware network design* problem for a network composed of seven nodes. (a) A given demand distribution $\mathcal{D}$ which describes the probability $p(i,j)$ of a source i to communicate with a destination j. In this case, the distribution is *symmetric*. (b) A demand-aware network, N, of degree $\Delta = 3$ which is optimal in terms of route lengths using a shortest paths routing scheme Γ_{sp}. The expected route length is optimal, $\mathrm{L}(\mathcal{D}, \Gamma_{sp}(N)) = \mathrm{L}^*(\mathcal{D}, \Delta) = \frac{35}{30}$ while the *link congestion* is not optimal $\mathrm{C}(\mathcal{D}, \Gamma_{sp}(N)) = \frac{12}{60}$. (c) A solution N' which is optimal with respect to *link congestion* $\mathrm{C}(\mathcal{D}, \Gamma_{sp}(N')) = \mathrm{C}^*(\mathcal{D}, \Delta) = \frac{8}{60}$ using the shortest paths routing scheme. The expected route length is not optimal, $\mathrm{L}(\mathcal{D}, \Gamma_{sp}(N')) = \frac{36}{30}$. The edge thickness represents the level of congestion.

resources, and their number is limited [21]). Consequently, *cl-DANs* provide particularly short routes between frequently communicating partners while accounting for the possible load. Throughout the paper we focus on the more challenging (and practically more relevant) scenario of *unsplittable flows*: traffic for a given source-destination pair is routed along a single path.

We are interested in *polynomial-time* algorithms to design *cl-DANs*, and we say that a *cl-DAN* achieves an *(α,β)-approximation* if: (1) the maximum congestion on any link is at most an α factor higher than the lowest possible (even when compared to a network design which can have arbitrary route lengths); (2) the weighted-average route length (henceforth also called the *expected route length*) is at most a factor β higher than optimal (even when compared to a network design which can have arbitrary loads).

C. Our Contributions

We initiate the study of the fundamental problem of demand-aware network designs which minimize both congestion and route length of unsplittable flows. The main result of this paper is a polynomial-time construction of a bounded-degree demand-aware network (together with a routing scheme) which provides a constant approximation of both the minimal congestion and minimal route lengths, for sparse demands (as they usually occur in practice): an *($O(1)$,$O(1)$)-approximate cl-DAN*. Our algorithm relies on an interesting algorithmic technique which connects the network design problem (where nodes communicate in pairs) to tree datastructures (where requests originate at the root). In particular, our construction is based on per-source optimal trees (henceforth called *ego-trees*) which are then combined in a manner which preserve bounded degrees. We believe that this technique is of independent interest and relevant for other (static and dynamic) network design problems.

Furthermore, our paper leverages an interesting connection to information theory: while the diameter of demand-*oblivious* networks of bounded degree is inherently lower bounded by $\Omega(\log n)$, we are able to "encode" specific routing patterns in network topologies which match the entropy lower bounds of the demand: entropy is a natural measure to study what can and cannot be achieved by a demand-aware network.

D. Paper Organization

The remainder of this paper is organized as follows. In Section II, we introduce our formal model. Section III presents an optimal network design and routing scheme for a single source, which is generalized in Section IV to arbitrary but sparse communication patterns. After reviewing related work in Section V, we conclude in Section VI. Some details are deferred to the appendix for better readability.

II. Model and Problem Definition

This paper considers the following fundamental demand-aware network design problem. The input is a set of n nodes $V = \{1, \ldots, n\}$ which communicate according to a given *communication pattern*, modeled as a discrete distribution $\mathcal{D}$ over $V \times V$: we represent the distribution of communication requests using a communication matrix $M_{\mathcal{D}}[\mathrm{p}(i,j)]_{n \times n}$ where the (i,j) entry indicates the communication frequency, $\mathrm{p}(i,j)$, from the (communication) source i to the (communication) destination j. The matrix is normalized, i.e., $\sum_{ij} \mathrm{p}(i,j) = 1$: we will hence interpret the matrix as a *probability distribution*. Furthermore, we will use $\mathrm{p}(i)$ to denote the total probability at which i serves as a source, i.e., $\mathrm{p}(i) = \sum_j \mathrm{p}(i,j)$. Similarly, $\mathrm{q}(i)$ denotes the frequency at which i is a destination.

We can also interpret the distribution $\mathcal{D}$ as a weighted directed *demand graph* $G_{\mathcal{D}}$, defined over the same set of nodes V: A directed edge $(u,v) \in E(G_{\mathcal{D}})$ exists iff $\mathrm{p}(u,v) > 0$.

The edge weight is simply the communication frequency: $w(i,j) = \mathrm{p}(i,j)$. Throughout this paper, we are interested in the practically relevant case [21] where $M_{\mathcal{D}}$ and $G_{\mathcal{D}}$ are *sparse*, i.e., $G_{\mathcal{D}}$ has a linear number of communication edges (and $M_{\mathcal{D}}$ has a linear number of non zero entries).

Our goal is to design demand-aware networks N which provide both low congestion and short route lengths, henceforth called *cl-DANs*. We define both the routing lengths and congestion using a *routing scheme* (a.k.a. 'canonical paths' [17]) for a network N. A *routing scheme* for a network N is a set $\Gamma(N)$ of simple paths Γ_{uv}, one between each pair (u,v) of distinct vertices. In particular, we consider *unsplittable flows* and each Γ_{uv} is a sequence of edges connecting u to v. If a demand $\mathcal{D}$ is given, we can now define congestion and route lengths formally where for each edge $e \in \Gamma_{uv}$, Γ_{uv} contributes $\mathrm{p}(u,v)$ to the load of e, and the length of a route Γ_{uv} is defined as $\mathrm{d}_{\Gamma(N)}(u,v)$. Congestion is defined by the most loaded edge in $\Gamma(N)$:

Definition 1 (Congestion C*):* The congestion for a routing scheme $\Gamma(N)$ and a demand distribution $\mathcal{D}$ is defined as:

$$\mathrm{C}(\mathcal{D}, \Gamma(N)) = \max_{e \in \Gamma(N)} \sum_{e \in \Gamma_{uv}} \mathrm{p}(u,v)$$

The route length is defined as the *weighted-average route length* for $\Gamma(N)$:

Definition 2 (Route Length L*):* The weighted average route length for a routing scheme $\Gamma(N)$ and a demand distribution $\mathcal{D}$ is defined as:

$$\mathrm{L}(\mathcal{D}, \Gamma(N)) = \sum_{(u,v) \in \mathcal{D}} \mathrm{p}(u,v) \cdot \mathrm{d}_{\Gamma(N)}(u,v)$$

Furthermore, we require the designed *cl-DAN* networks to be scalable, i.e., of bounded (constant) degree Δ. We denote by $\mathcal{N}_\Delta$, the family of all Δ-bounded degree graphs and formally we require that $N \in \mathcal{N}_\Delta$.

We define *optimal congestion*, with respect to a design that is optimized toward congestion only; similarly, we define *optimal route lengths*, with respect to designs that are optimized toward route length only. Formally, for a given demand distribution $\mathcal{D}$ and a degree bound Δ, denote

$$\mathrm{C}^*(\mathcal{D}, \Delta) = \min_{N \in \mathcal{N}_\Delta, \Gamma(N)} \mathrm{C}(\mathcal{D}, \Gamma(N))$$

as the optimal congestion and

$$\mathrm{L}^*(\mathcal{D}, \Delta) = \min_{N \in \mathcal{N}_\Delta, \Gamma(N)} \mathrm{L}(\mathcal{D}, \Gamma(N))$$

as the optimal route length.

We can now state our optimization problem: the design of a network which minimizes both congestion and route lengths.

Definition 3 ((α, β) cl-DAN *Network Design):* Given a communication distribution, $\mathcal{D}$ and a maximum degree Δ, the (α, β)*-cl-DAN* network design problem is to design a network $N \in \mathcal{N}_\Delta$ and a routing scheme $\Gamma(N)$ such that both congestion and route lengths are bounded compared to the optimal:

$$\mathrm{C}(\mathcal{D}, \Gamma(N)) \leq \alpha \cdot \mathrm{C}^*(\mathcal{D}, \Delta) + \alpha'$$

Algorithm 1: EGOTREE$(s, \bar{\mathrm{p}}, \Delta)$

1: **connect** the source s to the root of Δ (empty) binary trees $T_1, T_2, \ldots, T_\Delta$
2: **sort** $\bar{\mathrm{p}}$ from large to small
3: **add**, one by one (in decreasing order according to their probability mass), the destinations to the currently minimal tree T_i: in an unoccupied node as close as possible to the root of T_i

and,

$$\mathrm{L}(\mathcal{D}, \Gamma(N)) \leq \beta \cdot \mathrm{L}^*(\mathcal{D}, \Delta) + \beta'$$

where α' and β' are constants independent of the problem parameters.

We emphasize that we aim to be optimal along each dimension (congestion and route lengths) even compared to a network which is optimized only along one dimension (and has slack in the other dimension). We also note that a $(1,1)$*-cl-DAN* does not always exist. A more detailed example of our model and the challenge of minimizing both congestion and route length is given in Figure 2: for a given demand distribution, a network of optimal congestion may look different from a network with optimal route lengths. The main contribution of our paper is a $(O(1), O(1))$*-cl-DAN*.

III. The Ego-Tree Network

A fundamental building block of the *cl-DAN* network presented in this paper is the *ego-tree*: a congestion and route length optimized tree network for a *single node* (i.e., single source). The name ego-tree stems from the term ego-networks in social networks [22]: it describes the network of a user and her friends. Accordingly, in the following, we will first study the single-source multi-destination variant of our problem. In particular, we will present an algorithm EGOTREE$(s, \bar{\mathrm{p}}, \Delta)$ which, given a source s, a probability distribution $\bar{\mathrm{p}}$ across its neighbors and a bound Δ for the maximum degree, computes a demand-aware tree network of maximum degree Δ, with near optimal congestion and route length. More formally, the main result for this section is:

Theorem 1: Given a frequency distribution $\bar{\mathrm{p}}$ for a source s over its destinations, and a degree bound Δ, EGOTREE$(s, \bar{\mathrm{p}}, \Delta)$ is a (α, β) *cl-DAN* with $\alpha = 4/3$ and $\beta = \log^2(\Delta + 1)$.

That is, for a constant Δ, EGOTREE$(s, \bar{\mathrm{p}}, \Delta)$ achieves a constant approximation both in terms of the optimal congestion and the optimal average route length.

A. EGOTREE$(s, \bar{\mathrm{p}}, \Delta)$ Algorithm

Our algorithm to design an *ego-tree*, T, is a simple greedy algorithm (see pseudocode in Algorithm 1). Node s is the source and the root of T, its degree is Δ and it is connected to Δ *binary* trees [1] $T_1, T_2, \ldots, T_\Delta$ which will be defined shortly.

[1]Instead of binary trees, if one built the subtrees of Algorithm 1 as Δ-array trees, then the degree will increase to $O(\Delta^2)$ in *cl-DAN* while the congestion remains the same and the path length can be improved by a constant fraction only.

We note that EGOTREE$(s, \bar{\mathrm{p}}, \Delta)$ could be further optimized for better α and β by using Δ-array trees rather than binary trees, as we do. However, as we will see, limiting ourselves to binary trees here is crucial to keep the degree low later, when we combine multiple trees to design general *cl-DANs*.

We sort the probabilities in $\bar{p} = \{\mathrm{p}_1, \mathrm{p}_2, \ldots, \mathrm{p}_k\}$ from large to small, and create T by placing destinations in T according to the order in the sorted list of probabilities. We choose and add the next destination v to the tree T_i which currently has the minimum probability mass (breaking ties arbitrarily); we place v in an unoccupied node as close as possible to the root of T_i (recall that T_i is a binary tree). We note that the resulting tree T may not be balanced since the sizes of the binary trees may be different. Let $\Pi_1, \Pi_2, \ldots, \Pi_\Delta$ denote the partition of $\bar{p}$ according to the binary subtrees and let S_i be the total probability mass of Π_i, i.e., $S_i = \sum_{p_j \in \Pi_i} p_j$. We will later show that this process creates a nearly balanced partition, i.e., the S_i are of similar values. See Figure 3 for a numerical example of the algorithm and the resulting tree.

Communication from s to any of its communication partners is routed along s's *ego-tree* (using a routing algorithm which runs in the background).

B. Analysis

1) Analysis of Route Congestion: Since we design a tree network with a single source s, the most congested edge is clearly among the edges connected to the root s. Let e_i denote the edge that connects the root s to the tree T_i. The congestion in e_i is equal to S_i (the total probabilty mass of T_i) and therefore the congestion in T is $\max_i S_i$.

Minimizing $\max_i S_i$ is essentially a makespan scheduling problem where the goal is to assign jobs to Δ processors such that all the jobs can be completed as early as possible. While computing the optimal solution is NP-hard [30], we use a simple approximation method in Algorithm 1, which is known as the Longest Processing Time (LPT) [12] algorithm. LPT solves this problem by assigning the longest remaining unexecuted task to one of the free processors. It first sorts the jobs in decreasing order and then considers the jobs one at a time, placing them into the least working processors. The following well-known theorem from [12] gives an upper bound compared to the optimal solution:

Theorem 2 ([12], restated.): Let w_L be the maximum time a processor runs before completing all jobs, according to the greedy algorithm LPT, and let w_0 be the optimal processing time. Then,

$$\frac{w_L}{w_0} \leq \frac{4}{3} - \frac{1}{3\Delta}$$

The theorem can be easily extended from integers to rational numbers, as in our case, and we can claim the following (see Appendix for details):

Lemma 1: EGOTREE$(s, \bar{\mathrm{p}}, \Delta)$ provides a 4/3 approximation of the minimum congestion w.r.t. an optimal Δ-ary tree which needs to serve a frequency distribution $\bar{\mathrm{p}}$ for a single source s.

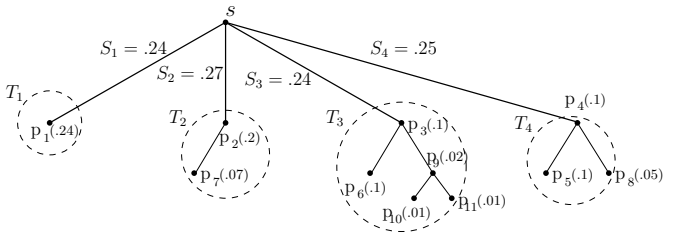


Fig. 3. EGOTREE$(s, \{.24, .2, .1, .1, .1, .1, .07, .05, .02, .01, .01\}, 4)$: Source s is connected to 4 binary trees with cumulative frequency .24,.27, .24, .25, respectively.

2) Analysis of Route Length: For a set of probabilities $\bar{\mathrm{p}}$ and a tree T, where each node in T corresponds to a single element in T, let $\mathrm{L}(\bar{\mathrm{p}}, T)$ denote the average route length from nodes in the tree to the root (which has distance 0 to itself). For a distribution $\bar{\mathrm{p}}$, let $H(\bar{\mathrm{p}})$ be the binary entropy of $\bar{\mathrm{p}}$ and $H_\Delta(\bar{\mathrm{p}})$ be the entropy calculated using the logarithm of base Δ. We will prove the following.

Lemma 2: EGOTREE$(s, \bar{\mathrm{p}}, \Delta)$ achieves a $\log^2(\Delta + 1)$ approximation on the minimum route length w.r.t. an optimal Δ-ary tree which needs to serve a frequency distribution $\bar{\mathrm{p}}$ for a single source s.

Proof: Recall that EGOTREE$(s, \bar{\mathrm{p}}, \Delta)$ is based on binary trees T_i, each serving a set of probabilities $\Pi_i \subset \bar{\mathrm{p}}$. Let Π'_i be the normalized version of Π_i, i.e., Π'_i sums to 1: a probability distribution. We first bound the average route length to nodes in T_i.

Claim 1: $\mathrm{L}(\Pi_i, T_i) \leq S_i H(\Pi'_i)$

Proof:[of Claim 1] The distances of nodes in T_i from the root are according to their probabilities, where the root has the largest probability. Let q_k denote the probability of the node which has rank k, in the order of Π_i (i.e., q_k is the kth largest entity in Π_i and ties broken arbitrarily, the root has rank 1), and let q'_k the corresponding probability in Π'_i.

By definition $q'_k = q_k / S_i$. Clearly $q'_k \leq 1/k$, otherwise we get a contradiction that Π'_i is normalized. So $k \leq 1/q'_k$. The distance of a node with rank k from the root is exactly $\lfloor \log k \rfloor$. We can write $\mathrm{L}(\Pi_i, T_i)$ as follows:

$$\begin{aligned} \mathrm{L}(\Pi_i, T_i) &= \sum q_k \lfloor \log k \rfloor \leq \sum S_i q'_k \lfloor \log 1/q'_k \rfloor \\ &\leq \sum S_i q'_k \log 1/q'_k = S_i H(\Pi'_i) \end{aligned}$$

□

Let T_s denote the tree resulting from EGOTREE$(s, \bar{\mathrm{p}}, \Delta)$. We can now bound $\mathrm{L}(\bar{\mathrm{p}}, T_s)$.

$$\begin{aligned} \mathrm{L}(\bar{p}, T_s) &= 1 + \sum_{i=1}^{\Delta} \mathrm{L}(\Pi_i, T_i) \leq 1 + \sum_{i=1}^{\Delta} S_i H(\Pi'_i) \\ &= 1 + H(\bar{\mathrm{p}}) - H(S_1, S_2, \ldots, S_\Delta) \leq H(\bar{\mathrm{p}}) \end{aligned} \quad (1)$$

The third step follows from the entropy grouping property and we note that the inequality of the last step may not always hold, however, we keep it for simplicity of presentation and since for $\Delta > 2$, large n and our partition method, $H(S_1, S_2, \ldots, S_\Delta) \geq 1$, holds.

We turn to the lower bound. Denote the optimal Δ-ary tree by T^*_Δ, a lower bound for $\mathrm{L}(\bar{\mathrm{p}}, T^*_\Delta)$ is given in [3]:

Lemma 3: Let T^*_Δ be an optimal Δ-ary tree built for the frequency distribution $\bar{\mathrm{p}}$. Then,

$$\mathrm{L}(\bar{\mathrm{p}}, T^*_\Delta) \geq \frac{1}{\log(\Delta+1)} H_\Delta(\bar{\mathrm{p}})$$

Using $H_\Delta(\bar{\mathrm{p}}) = (1/\log\Delta)\, H(\bar{\mathrm{p}})$, and combining Equation 1 and Lemma 3, we have:

$$\frac{H(\bar{\mathrm{p}})}{\log^2(\Delta+1)} \leq \mathrm{L}(\bar{\mathrm{p}}, T^*_\Delta) \leq \mathrm{L}(\bar{\mathrm{p}}, T_s) \leq H(\bar{\mathrm{p}}) \qquad (2)$$

which concludes the proof of Lemma 2. □

3) Summary: Theorem 1 now directly follows from Lemma 1 and Lemma 2.

IV. Network Design for Sparse Distributions

We now describe a congestion and route-length optimized demand-aware network *cl-DAN* N for sparse demand distributions. Our construction will build upon the *ego-tree* technique above. We first present our algorithm and subsequently analyze it. For simplicity, we assume that the given matrix $\mathcal{D}$ is symmetric. Later we will show similar results for an arbitrary sparse matrix. In particular, we will derive the following main theorem:

Theorem 3: Let $\mathcal{D}$ be a symmetric communication request distribution where ρ is the average degree in $G_\mathcal{D}$ (so the number of edges is $\rho \cdot n/2$). Then, for a maximum degree $\Delta = 12\rho$. it is possible to generate an (α, β)-*cl-DAN* with $\alpha = 1 + (8/9)\Delta$ and $\beta = 1 + 4\log^2(\Delta+1)$. For constant ρ this provides a constant approximation for both the minimal congestion and optimal route length.

Since $\mathcal{D}$ is symmetric, we can view $G = G_\mathcal{D}$ as a weighted but undirected graph. We denote the normalized row (which is identical to the column) corresponding to any node u by $\overline{\mathcal{D}[u]}$. As mentioned earlier our main design method for *cl-DAN* relies on EgoTrees. We divide nodes in *high degree* and *low degree* nodes. For each *high degree* node $v \in V$, we construct its optimized tree based on $\textsc{EgoTree}(v, \overline{\mathcal{D}[v]})$ and later take a union of them. Before taking union, we do some modifications on those trees which help to maintain the degree bound. In addition we keep all the edges between low degree nodes. A detailed explanation is given next followed by an analysis.

A. (α, β)-cl-DAN *Algorithm*

Recall that the total number of edges in G is $n\rho/2$ and we assume that the average degree ρ, is constant so $\mathcal{D}$ is sparse. Denote the $n/2$ nodes with the lowest degree in G as *low degree* nodes and the rest as *high degree* nodes. Let $\mathcal{L}$ and $\mathcal{H}$ be the set of high degree and low degree nodes respectively such that $\mathcal{H} \cup \mathcal{L}$ include all the nodes. Note that each low degree node has a degree at most 2ρ. The construction of N will be done in two phases. In the first phase, we consider edges (u, v) between the high degree nodes u and v. We subdivide each such edge with two edges that connect u to v via a helping low degree node $\ell \in \mathcal{L}$, i.e., removing the undirected edge (u, v) and adding the edges (u, ℓ) and (v, ℓ). Note that there are at most $n\rho/2$ such edges, so we can distribute the help of low degree nodes in such a way that each low degree node helps at most ρ such edges. We keep a restriction on choosing ℓ. We use different ℓ for each different high degree neighbor of a high degree node u. This is feasible since a high degree node u can have at most $n/2 - 1$ high degree neighbors. Call the resulting graph G'.

Algorithm 2: Building *cl-DAN*

1: **divide** set of nodes to two subsets; $\mathcal{H}$ of high degree ($n/2$ nodes with highest degree) and $\mathcal{L}$ of low degree (remaining $n/2$ nodes)
2: **find** all the edges which are between two high degree nodes from $\mathcal{H}$
3: **assign** each edge (u, v) between high degree and helper node ℓ which is a low degree node from $\mathcal{L}$
4: **construct** *ego-trees* T_u according to Algorithm 1 for each high degree node u with the corresponding normalized rows $\overline{\mathcal{D}[u]}$ as the input distribution and $\Delta = 12\rho$
5: **modify** T_u to T'_u using the helper nodes (see text)
6: **union** all *ego-trees* T'_u and with the edges between low degree nodes

Accordingly, we also create a new (also symmetric) matrix $\mathcal{D}'$, which initially, is identical to $\mathcal{D}$, but we then change some entries according to G'. For every low degree node ℓ that helps an edge (u, v) we modify the corresponding entries in $\mathcal{D}'$:

$$\begin{aligned} \mathrm{p}'(u,v) &= \mathrm{p}'(v,u) = 0 \\ \mathrm{p}'(u,\ell) &= \mathrm{p}'(\ell,u) = \mathrm{p}(u,\ell) + \mathrm{p}(u,v) \\ \mathrm{p}'(\ell,v) &= \mathrm{p}'(v,\ell) = \mathrm{p}(\ell,v) + \mathrm{p}(u,v) \end{aligned} \qquad (3)$$

In the second phase, we construct N from G'. We start with G by considering each node $u \in \mathcal{H}$ with high degree and create a tree T_u according to $\overline{\mathcal{D}[u]}$ using the method of Theorem 1 and with $\Delta = 12\rho$ as degree of the root, i.e., we generate $\textsc{EgoTree}(u, \overline{\mathcal{D}[u]}, \Delta)$. The result is a constant approximation to the optimal tree built for $\overline{\mathcal{D}[u]}$ w.r.t. both congestion and route length.

But since routing between high degree nodes u and v is done via the helper node ℓ, a slight change to T_u and T_v is needed. We modify T_u to create T'_u in the following way. If $\ell \notin T_u$ ($\mathrm{p}(u,\ell) = 0$), then node ℓ takes the position of node v in T'_u. If $\ell \in T_u$ ($\mathrm{p}(u,\ell) > 0$) then there are two cases: if $\mathrm{p}(u,\ell) > \mathrm{p}(u,v)$, we remove v from the tree; else when $\mathrm{p}(u,\ell) \leq \mathrm{p}(u,v)$, ℓ takes the position of v in the tree. In all cases, any communication from u to v is routed first to ℓ in T'_u and the forwarded to v on T'_v. Note that by definition ℓ will be in both trees.

To construct N we take the union of all these *ego-trees*, T'_u, for high degree nodes together with the low degree to low degree edges in G, i.e., (u, v) edges where both $u, v, \in \mathcal{L}$. This completes the construction of N. We present the pseudo-code in Algorithm 2 and the analysis in the next section.

B. Analysis

This section is devoted to prove Theorem 3. We first analyze the degree bound, and then study the congestion and expected route length in turn.

1) Analysis of Degree Bound: Each high degree node appears only in its optimal tree, hence has degree Δ. Each low degree node has degree at most 2ρ in $G_{\mathcal{D}}$, hence can be a part of 2ρ trees assuming all its neighbors are high degree. Additionally a low degree node may need to help at most ρ edges between high degree nodes and hence appears in another 2ρ trees. So a low degree node may appear in 4ρ trees resulting a degree $\Delta = 12\rho$ (since its degree in each tree is at most 3).

2) Analysis of Congestion, C*:* We start with a lower bound. In the optimal network, each node must have to carry all the outgoing and incoming communications (sum of its row/column in $\mathcal{D}$) via at most Δ edges to its neighbors. For a node u, at best, any algorithm performs the optimal Δ-way partitioning over $\mathcal{D}[u]$ and divides the load of u optimally via those Δ edges to the neighbors of u. Let C^*_u be the optimal solution for Δ-way partitioning for $\mathcal{D}[u]$. A lower bound for the minimum congestion is therefore $\mathrm{C}^* = \max_u \mathrm{C}^*_u$.

We now turn to the congestion in our algorithm. Consider a node u and the EGOTREE we built for it, T'_u. Let C_u be the congestion when we use $\mathcal{D}[u]$ to build T_u. We know from Theorem 1 that $\mathrm{C}_u < (4/3)\mathrm{C}^*_u$. But, in Algorithm 2 we modify T_u to T'_u. Let C'_u denote the congestion in T'_u. Next we show a connection between C'_u and C_u.

Lemma 4: The congestion on T'_u is bounded such that C'_u less or equal to $2\mathrm{C}_u$.

Proof: Consider binary subtree T_i in T_u with total probability S_i. By construction of T'_u each element in T_i can stay in the same partition (but replaced by a helper node), removed or double its mass (since nodes with lower probability can only move to the location of higher probability nodes). So for each i, $S'_i \leq 2S_i$, and the claim follows. □

From Lemma 4, the congestion on T'_u is such that $\mathrm{C}'_u \leq 2\mathrm{C}_u \leq 8/3\mathrm{C}^*_u$. So according to our construction, any edge may carry at most $(8/3)\mathrm{C}^*$ amount of traffic on a single tree constructed for high degree nodes where C^* is the optimal congestion. Low degree nodes may be present in at most 4ρ trees, so they subsequently may carry loads of all the high degree roots. Accordingly the congestion is bounded by $4\rho \cdot (8/3)\mathrm{C}^* = (8/9)\Delta\mathrm{C}^*$. Additionally the original communication between two low degree nodes can be at most C^*. Hence,

$$\mathrm{C}(\mathcal{D}, \Gamma(N)) \leq \mathrm{C}^*(1 + \frac{8}{9}\Delta) = \mathrm{C}^*(\mathcal{D}, \Delta)(1 + \frac{8}{9}\Delta)$$

i.e., $\alpha = 1 + (8/9)\Delta$ which is constant as Δ is constant.

3) Analysis of Route Length, L*:* We show that the expected route length is also optimal on this construction. We start with a lower bound that relates the expected route length to the conditional entropy $H(Y \mid X)$ of the joint distribution. We note that for symmetric distributions $H(Y \mid X) = H(X \mid Y)$. Formally we show:

Theorem 4: Consider a symmetric joint frequency distribution $\mathcal{D}$. Let X, Y be the random variables distributed according to the marginal distribution of the sources and destinations in $\mathcal{D}$, respectively. Then

$$\mathrm{L}^*(\mathcal{D}, \Delta) \geq H(Y \mid X)/\log^2(\Delta + 1) \tag{4}$$

Proof: Let $\Gamma^*(N)$ be the solution for optimal route length on $\mathcal{D}$. If we consider the union of optimal trees (T^*_u) of bounded degree Δ (w.r.t. route length) for each normalized row $\overline{\mathcal{D}[u]}$ of $\mathcal{D}$, the route length on this construction constitutes a valid lower bound on the route length, although the degree bound Δ is no more true. Therefore, using Equation 2 we can write,

$$\begin{aligned}\mathrm{L}^*(\mathcal{D}, \Delta) &\geq \sum \mathrm{p}(u)\mathrm{L}(\overline{\mathcal{D}[u]}, T^*_u) \\ &\geq \sum \mathrm{p}(u)H_\Delta(\overline{\mathcal{D}[u]})/\log(\Delta + 1) \\ &= H(Y \mid X)/\log^2(\Delta + 1)\end{aligned}$$

□

Similarly, when considering the union of trees for each column:

$$\mathrm{L}^*(\mathcal{D}, \Delta) \geq H(X \mid Y)/\log^2(\Delta + 1)$$

We turn to our upper bound. Before the technical proof, we start with the intuitive discussion. One can view the bounded degree network N as a union of optimal trees (*ego-trees* T_u for u) built for the high degree nodes according to the construction presented in the proof of Theorem 1. But recall the construction of T'_u which is the modification of T_u. To preserve optimality we can first show:

Lemma 5: The expected route length on T'_u is bounded by $\mathrm{L}(\mathcal{D}'[u], T'_u) \leq 2\mathrm{L}(\mathcal{D}[u], T_u)$.

Proof: First note that

$$\mathrm{p}(u) = \mathrm{p}'(u), \tag{5}$$

that is, after the modification of $\mathcal{D}$ to $\mathcal{D}'$, u has the same probability mass. Next since a node in T_u may only move to a location with larger mass, this can increase by at most twice: the contribution of each node to the expected route length. □

For each request (u, v) in $\mathcal{D}$ there are two possibilities for the route on $\Gamma(N)$: either the edge $(u, v) \in N$ is a direct route, or the route goes via T'_u or T'_v or both. We can now prove the upper bound.

Lemma 6: The expected route length on N built using Algorithm 2 and $\Gamma(N)$ is bounded by

$$\mathrm{L}(\mathcal{D}, \Gamma(N)) \leq 1 + 4H(Y \mid X).$$

Proof: The analysis is shown in Table I. □

We conclude the proof of Theorem 3 by combining Theorem 4 and Lemma 6 to get

$$\mathrm{L}(\mathcal{D}, \Gamma(N)) \leq 1 + 4\log^2(\Delta + 1)\mathrm{L}^*(\mathcal{D}, \Delta). \tag{6}$$

We note that the result can be extended to *asymmetric* matrices and show (skipping some details due to space).

Theorem 5: Let $\mathcal{D}$ be an arbitrary but sparse distribution with ρ being the average degree in $G_{\mathcal{D}}$. It is possible to generate

$$
\begin{aligned}
\mathrm{L}(\mathcal{D},\Gamma(N)) &= \sum_{(u,v)\in\mathcal{D}} \mathrm{p}(u,v) d_{\Gamma(N)}(u,v) \quad \text{(Since routes between all possible pairs are unique in } \Gamma(N)) \\
&= \sum_{u\in\mathcal{L}}\sum_{v\in\mathcal{L}} \mathrm{p}(u,v) + \sum_{u\in\mathcal{H}}\sum_{v\in\mathcal{L}} \mathrm{p}(u,v) d_{T'_u}(u,v) + \sum_{u\in\mathcal{L}}\sum_{v\in\mathcal{H}} \mathrm{p}(u,v) d_{T'_v}(u,v) + \sum_{u\in\mathcal{H}}\sum_{v\in\mathcal{H}} \mathrm{p}(u,v) d_{\Gamma(N)}(u,v) \quad \text{(Sum over all possible pairs)} \\
&\le 1 + \sum_{u\in\mathcal{H}}\sum_{v\in\mathcal{L}} \mathrm{p}(u,v) d_{T'_u}(u,v) + \sum_{u\in\mathcal{L}}\sum_{v\in\mathcal{H}} \mathrm{p}(u,v) d_{T'_v}(u,v) + \sum_{u\in\mathcal{H}}\sum_{v\in\mathcal{H}} \mathrm{p}(u,v) \left[d_{T'_u}(u,\ell) + d_{T'_v}(\ell,v) \right] \\
&\quad \text{(Route between } u, v \text{ in } \Gamma(N) \text{ goes via } \ell \text{ when } u \text{ and } v \text{ are high degree)} \\
&= 1 + \left[\sum_{u\in\mathcal{H}}\sum_{v\in\mathcal{L}} \mathrm{p}(u,v) d_{T'_u}(u,v) + \sum_{u\in\mathcal{H}}\sum_{v\in\mathcal{H}} \mathrm{p}(u,v) d_{T'_u}(u,\ell) \right] + \left[\sum_{u\in\mathcal{L}}\sum_{v\in\mathcal{H}} \mathrm{p}(u,v) d_{T'_v}(u,v) + \sum_{u\in\mathcal{H}}\sum_{v\in\mathcal{H}} \mathrm{p}(u,v) d_{T'_v}(\ell,v) \right] \\
&= 1 + \sum_{u\in\mathcal{H}}\sum_{v\in V} \mathrm{p}'(u,v) d_{T'_u}(u,v) + \sum_{u\in V}\sum_{v\in\mathcal{H}} \mathrm{p}'(u,v) d_{T'_v}(u,v) \quad \text{(Using Equation (3))} \\
&= 1 + 2\sum_{u\in\mathcal{H}}\sum_{v\in V} \mathrm{p}'(u,v) d_{T'_u}(u,v) \quad \text{(Since } \mathcal{D}' \text{ is symmetric)} \\
&= 1 + 2\sum_{u\in\mathcal{H}} \mathrm{p}'(u) \sum_{v\in V} \mathrm{p}'(v|u) d_{T'_u}(u,v) \quad \text{(W.r.t. marginal distribution of } u\in\mathcal{H}) \\
&\le 1 + 2\sum_{u\in\mathcal{H}} \mathrm{p}'(u) \mathrm{L}(\overline{\mathcal{D}'[u]}, T'_u) \quad \text{(By definition of } \mathcal{D}'[u]) \\
&\le 1 + 2\sum_{u\in\mathcal{H}} \mathrm{p}(u) 2\mathrm{L}(\overline{\mathcal{D}[u]}, T_u) \quad \text{(By Lemma 5 and Eq. 5)} \\
&\le 1 + 4\sum_{u\in\mathcal{H}} \mathrm{p}(u) H(\overline{\mathcal{D}[u]}) \quad \text{(Using Equation 1)} \\
&\le 1 + 4H(Y \mid X)
\end{aligned}
$$

TABLE I
ANALYSIS OF EXPECTED ROUTE LENGTHS

a *cl-DAN* of maximum degree $\Delta = 12\rho$ which achieves a $(\alpha = 1 + (8/9)\Delta, \beta = 1 + 4\log^2(\Delta+1))$-approximation.

The basic idea is to convert an asymmetric matrix $\mathcal{A}$ to a symmetric matrix $\mathcal{D}$ and design the network based on $\mathcal{D}$. We do not lose much by doing this. Let $\mathcal{A}$ be an asymmetric matrix. Let its corresponding symmetric matrix be $\mathcal{D} = (\mathcal{A} + \mathcal{A}^T)/2$.

It is easy to see that the degree bound Δ and the congestion bounds do not change as a result of this operation. First we discuss on Δ. The total number of edges in $G_{\mathcal{A}}$, $G_{\mathcal{D}}$ are the same and so the average degree ρ. Accordingly the set of low degree nodes, high degree nodes and their neighbors are no different in both $G_{\mathcal{A}}$ and $G_{\mathcal{D}}$. Therefore, the degree bound Δ remains the same. Next we discuss effects on congestion bound. Consider any $a(i,j) \in \mathcal{A}$ and the corresponding $d(i,j) \in \mathcal{D}$. Notice that $a(i,j) \le 2d(i,j)$. Hence, when we create an *ego-tree* for any high degree node according to $\mathcal{D}$, each of the subtrees may have a total probability mass bounded by at most twice according to the original entities in $\mathcal{A}$. For the route length, we prove the following result on the tight relation between conditional entropies of $\mathcal{A}$ and $\mathcal{D}$. Let $H^*_{\mathrm{con}} = \max(H^{\mathcal{A}}(Y \mid X), H^{\mathcal{A}}(X \mid Y))$, the maximum of both possible conditional entropies. Then we can state the following:

Lemma 7: The conditional entropy of the symmetric matrix $\mathcal{D}$ is in the order of the maximal conditional entropy of $\mathcal{A}$.

$$H^{\mathcal{D}}(Y \mid X) = H^{\mathcal{D}}(X \mid Y) \le H^*_{\mathrm{con}} + 1$$

To prove the theorem, we first show the following lemma.

Lemma 8: Let $\overrightarrow{\mathrm{p}}$ and $\overrightarrow{\mathrm{q}}$ be two probability (frequency) distributions for the same set. Let $H^* = \max(H(\overrightarrow{\mathrm{p}}), H(\overrightarrow{\mathrm{q}}))$. Then

$$\frac{1}{2}H^* \le \frac{1}{2}H(\overrightarrow{\mathrm{p}}) + \frac{1}{2}H(\overrightarrow{\mathrm{q}}) \le H(\frac{\overrightarrow{\mathrm{p}} + \overrightarrow{\mathrm{q}}}{2}) \le H^* + 1$$

Proof: The lower bound is implied by the concavity of entropy [8], i.e., $H((1/2)\overrightarrow{\mathrm{p}} + (1/2)\overrightarrow{\mathrm{q}}) \ge (1/2)H(\overrightarrow{\mathrm{p}}) + (1/2)H(\overrightarrow{\mathrm{q}})$. For the upper bound, we have:

$$
\begin{aligned}
H(\frac{\overrightarrow{\mathrm{p}} + \overrightarrow{\mathrm{q}}}{2}) &= \sum \frac{\mathrm{p}_i + \mathrm{q}_i}{2} \log \frac{2}{\mathrm{p}_i + \mathrm{q}_i} \\
&= \frac{1}{2}\sum \mathrm{p}_i \log \frac{2}{\mathrm{p}_i + \mathrm{q}_i} + \frac{1}{2}\sum \mathrm{q}_i \log \frac{2}{\mathrm{p}_i + \mathrm{q}_i} \\
&\le \frac{1}{2}\sum \mathrm{p}_i \log(\frac{1}{\mathrm{p}_i}) + \frac{1}{2} + \frac{1}{2}\sum \mathrm{q}_i \log(\frac{1}{\mathrm{q}_i}) + \frac{1}{2} \\
&= \frac{1}{2}H(\overrightarrow{\mathrm{p}}) + \frac{1}{2}H(\overrightarrow{\mathrm{q}}) + 1 \le H^* + 1
\end{aligned}
$$

□

We now prove Lemma 7.

Proof:[Proof of Lemma 7] From Lemma 8 we have $H^{\mathcal{D}}(X,Y) \le H\mathcal{D}(X,Y) + 1$ and $H^{\mathcal{D}}(X) \ge (1/2)H^{\mathcal{A}}(X) + (1/2)H^{\mathcal{A}}(Y)$. Now we can bound the conditional entropy.

$$
\begin{aligned}
H^{\mathcal{D}}(Y \mid X) &= H^{\mathcal{D}}(X,Y) - H^{\mathcal{D}}(X) \\
&\le H^{\mathcal{A}}(X,Y) + 1 - \frac{1}{2}H^{\mathcal{A}}(X) - \frac{1}{2}H^{\mathcal{A}}(Y) \\
&= \frac{1}{2}H^{\mathcal{A}}(Y \mid X) + \frac{1}{2}H^{\mathcal{A}}(X \mid Y) + 1 \\
&\le H^*_{\mathrm{con}} + 1
\end{aligned}
$$

By the symmetry of the matrix, we have that $H^{\mathcal{D}}(Y \mid X) = H^{\mathcal{D}}(X \mid Y)$. □

V. Related Work

The advent of technologies for reconfigurable networks has motivated much research recently [6], [10], [14], [15], [18], [20], [21], [34], [35] Empirical studies confirm that communication patterns are often *sparse* and of *low entropy*, which can be exploited in demand-aware networks: in [21], it is shown that a high percentage of rack pairs does not exchange any traffic at all, while less than 1% of them account for 80% of the total traffic. The study of reconfigurable networks is not limited to data center networks. Interesting use cases also arise in the context of wide-area networks [18], [32] and, more traditionally, in the context of overlays [25], [28].

In contrast to most existing work, we in this paper are mainly interested in the algorithmic aspects of demand-aware network designs, see [4] for a recent taxonomy and survey of the field. Related to our perspective, Avin et al. [3] presented algorithms to design bounded-degree demand-aware networks providing an almost optimal expected route length under sparse communication patterns. The algorithms in [3] build upon initial insights on SplayNets [29] (later extended to *distributed* SplayNets [24]). Foerster et al. [11] presented algorithms to design networks for a model based on emerging optical switches providing flexible matchings on top of an otherwise static network. However, these works focus on networks providing short route lengths and do not account for the congestion introduced by multiple commodities. The study of congestion however is of prime importance as it directly affects the network performance, but also renders the algorithmic problem different in nature and more challenging. Other solutions in the literature, such as [33], [34], either rely on integer programming which can result in super-polynomial run times, or on heuristics which do not provide any provable guarantees.

Finally, we note that our approach of reconfiguring network topologies to reduce communication costs, is orthogonal to approaches changing the traffic matrix itself (e.g., [27]) or migrating communication endpoints on a fixed topology [1], [2], [16].

VI. Conclusion

We presented the first demand-aware networks which provide provable guarantees on both congestion and route length, the two main objectives in traffic engineering. The proposed networks are of bounded degree and hence scalable.

We regard our work as a first step and believe that it opens several interesting avenues for future research. In particular, it will be interesting to investigate more fault-tolerant designs as well as dynamic demand-aware networks which can self-adjust over time to temporally changing traffic patterns.

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APPENDIX

A. Proof of Lemma 1

Before going to prove Lemma 1, we first show that LPT indeed works on rational numbers too.

Let $\{\mathrm{f}_1, \mathrm{f}_2, \ldots, \mathrm{f}_n\}$ be a set of integer frequencies indicating number of times (f_i) a node (i) communicates with the source s. Let $\bar{p} = \{\mathrm{p}_1, \mathrm{p}_2, \ldots, \mathrm{p}_n\}$ be the corresponding set of frequency distributions such that $\mathrm{p}_j = \mathrm{f}_j / \sum \mathrm{f}_i$. Clearly all p_i are rational numbers. We show that LPT generates equivalent partitions on both the above sets. LPT first sorts the inputs in non-increasing order. W.l.o.g., we assume that, $\mathrm{f}_1 \leq \mathrm{f}_2 \leq \ldots \leq \mathrm{f}_n$. Consequently $\mathrm{p}_1 \leq \mathrm{p}_2 \leq \ldots \leq \mathrm{p}_n$. Since LPT takes elements from this order and puts in one of the Δ subsets which has the minimum subset sum, the first Δ elements $\mathrm{f}_1, \mathrm{f}_2, \ldots, \mathrm{f}_\Delta$ must fall into different subsets namely, $\Pi_1^{(\mathrm{f})}, \Pi_2^{(\mathrm{f})}, \ldots, \Pi_\Delta^{(\mathrm{f})}$. This represents the subsets of the partition uniquely. Similarly we define the subsets generated on partitioning $\bar{\mathrm{p}}$ by $\Pi_1^{(\mathrm{p})}, \Pi_2^{(\mathrm{p})}, \ldots, \Pi_\Delta^{(\mathrm{p})}$. To show these two partitions are equivalent, we state the following lemma.

Lemma 9: For any j, if f_j is in $\Pi_k^{(\mathrm{f})}$ ($k \leq \Delta$), then the corresponding frequency $\mathrm{p}_j = \mathrm{f}_j / \sum \mathrm{f}_i$ would be in $\Pi_k^{(\mathrm{p})}$.

Proof: We prove it by induction. For $j = 1$, f_1 belongs to $\Pi_1^{(\mathrm{f})}$ and p_1 belongs to $\Pi_1^{(\mathrm{p})}$. For $j = 2$, f_2 belongs to $\Pi_2^{(\mathrm{f})}$ and p_2 belongs to $\Pi_2^{(\mathrm{p})}$. By induction, let this be true for $j = m - 1$, and let, before processing f_m, the sums of the frequencies in the subsets of the corresponding partitions to be $\mathrm{S}_1^{(\mathrm{f})}, \mathrm{S}_2^{(\mathrm{f})}, \ldots, \mathrm{S}_\Delta^{(\mathrm{f})}$. Assume that $\mathrm{S}_k^{(\mathrm{f})}$ is minimum among them. At the same time, we denote the sum of the subsets in the partition of $\bar{\mathrm{p}}$ as $\mathrm{S}_1^{(\mathrm{p})}, \mathrm{S}_2^{(\mathrm{p})}, \ldots, \mathrm{S}_\Delta^{(\mathrm{p})}$. Since the property is true for $j = m - 1$, and $\mathrm{S}_i^{(\mathrm{p})} = \mathrm{S}_i^{(\mathrm{f})} / \sum \mathrm{f}_i$, so if $\mathrm{S}_k^{(\mathrm{f})}$ attains the minimum sum, so does $\mathrm{S}_k^{(\mathrm{p})}$. □

Hence LPT puts p_m in to $\Pi_k^{(\mathrm{p})}$. The partitions w.r.t. a set of integer frequencies and the corresponding set of frequency distributions have equivalent subsets. Now we want to leverage the fact [12] that LPT partitions any set of integers within an $4/3 - 1/(3\Delta)$-approximation of the optimal (Theorem 2).

Corollary 1: Theorem 2 holds for partitioning frequency distribution $\bar{\mathrm{p}}$.

Proof: The proof is immediate from Lemma 9. □

Now we prove Lemma 1.

Proof:[of Lemma 1] Let $\Pi_1, \Pi_2, \ldots, \Pi_\Delta$ denote the partition of $\bar{p}$ according to the binary subtrees, and let S_i denote the total probability mass of Π_i, i.e., $S_i = \sum_{p_j \in \Pi_i} p_j$. Let $\max_i S_i$ be the maximum subset sum where S_{opt} be the maximum sum in the optimum partition. From Corollary 1, we can say, $\max_i S_i \leq (4/3 - 1/(3\Delta))\, \mathrm{S}_{opt} \leq (4/3)\mathrm{S}_{opt}$. Since source s can have at most Δ neighbors, and all the communications must flow through those, then there must be one edge from s to some neighbor in the optimum tree through which S_{opt} amount of communication flows, which is the optimal congestion. We construct an *ego-tree* where congestion is less than equal to $(4/3)\mathrm{S}_{opt}$ since no edge in the *ego-tree* carries communications more than $\max_i S_i$. This completes the proof. □